

New Vendor Registration

The Seminole Tribe of Florida is engaged in Indian tribal government class III gaming in accordance with the Indian Gaming Regulatory Act and the regulations promulgated by the National Indian Gaming Commission. As a result, the Tribe cannot engage in significant transactions with individuals or business entities whom, because of past or continuing activities, associations or reputation, might bring discredit to the Tribe and its gaming operations. Thus, the Tribe has adopted a program whereby it will continually review significant transactions involving its gaming activities and the persons or entities involved with those transactions to assure compliance with all applicable laws and regulations relating to gaming.

In order to bid on business with the Seminole Tribes gaming operations, the Vendor Registration Form must be completed and signed, then forwarded to the Seminole Gaming, Executive Offices, One Seminole Way, Hollywood, Florida 33314. **Please note, however, that submission of a Vendor Registration Form does not mechanically engage the Vendor in significant transactions or business activity with the Seminole Tribe of Florida.**

Failure to meet requirements will result in the loss of the opportunity to bid on future Tribal goods and services pertaining to its gaming operations. PLEASE COMPLETE THE VENDOR REGISTRATION FORM. Respond with "NONE" or "DOES NOT APPLY", if appropriate.

Section 1. Provide information pertaining to the Vendor/Company.

IF VENDOR IS PUBLICLY TRADED, SKIP TO PAGE 2 AND SIGN RELEASE.

**IF THE VENDOR/COMPANY IS REGISTERED/LICENSED WITH A GAMING COMMISSION
IN OTHER JURISDICTION(S), SKIP TO ITEM 6** AND SIGN RELEASE.**

Section 2. Name, title, date of birth, Social Security Number, residence address and city where employed of each principal person employed in any company(s) you own holding the title of or performing the functions of: (a) executive officer, (b) operating officer, (c) financial officer, (d) accounting officer, and (e) individuals having a beneficial ownership of 10% or more equity of your company(s).

Section 3. Name, address and telephone number of company(s) you own, including names under which licensed, identities of subsidiaries and/or parent company, and where incorporated.

If the company prepares a 10-K, please furnish the most recent copy.

Section 4. Identity of all creditors and debtors whose individual credit or debt would be equal to 10% of the net worth of your company(s), which credit or debt has been outstanding or is anticipated to be outstanding for a period in excess of one (1) year.

Section 5. List of all criminal judgments entered against the company(s) during the previous five (5) years. Identify the court in which the judgment was entered.

** Section 6. If your company(s) is licensed with a STATE GAMING COMMISSION in any other jurisdiction(s), provide appropriate information for reference; State, license/registration number, contact information if any.

RELEASE: Vendor Registration Form must be signed by a duly authorized officer of the company.

PLEASE READ, COMPLETE AND EXECUTE ATTACHED VENDOR ETHICS AND PROCEDURE STATEMENT, AND RETURN WITH VENDOR APPLICATION.

Please submit the Vendor Registration Form and Statement to: (fax: 954-581-1614)
Seminole Gaming, Executive Offices
One Seminole Way
Hollywood, FL 33314

For any questions regarding the Registration Form, please contact Maria Morel-Ruiz at 954-585-5703 or maria.morel-ruiz@stfgaming.com.
Your cooperation in this matter is appreciated.

SECTION 3 ** *NAME, ADDRESS, AND TELEPHONE NUMBER OF COMPANY(IES) OWNED, INCLUDING NAMES UNDER WHICH LICENSED, IDENTITIES OF SUBSIDIARIES AND/ OR PARENT COMPANY, AND WHERE INCORPORATED. IF COMPANY PREPARES A 10-K, PLEASE FURNISH THE MOST RECENT COPY.*

NAME	ADDRESS	TELEPHONE	NAME LICENSED AS	INCORPORATED
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

SECTION 4 ** *IDENTIFY ALL CREDITORS AND DEBTORS WHOSE INDIVIDUAL CREDIT OR DEBT WOULD BE EQUAL TO 10% OF THE NET WORTH OF YOUR COMPANY(IES), WHICH CREDIT OR DEBT HAS BEEN OUTSTANDING OR IS ANTICIPATED TO BE OUTSTANDING FOR A PERIOD IN EXCESS OF ONE (1) YEAR.*

%	NAME / COMPANY	ADDRESS
_____	_____	_____
_____	_____	_____

SECTION 5 ** *LIST CRIMINAL JUDGEMENTS ENTERED AGAINST THE COMPANY(IES) AND/OR INDIVIDUALS DURING THE PREVIOUS FIVE [5] YEARS. IDENTIFY THE COURT IN WHICH THE JUDGEMENT WAS ENTERED.*

INDIVIDUAL OR COMPANY NAME SSN / FEIN	DATE OF CHARGE OR ARREST NATURE OF CHARGE OR ARREST	FINAL DISPOSITION DISPOSITION DATE	NAME OF COURT LOCATION (CITY, STATE, COUNTRY, ZIP)
_____	_____	_____	_____
_____	_____	_____	_____

SECTION 6 ** *IF VENDOR IS LICENSED WITH A STATE GAMING COMMISSION IN ANY OTHER JURISDICTION, PROVIDE THE FOLLOWING INFORMATION*

JURISDICTION / COMMISSION	CONTACT INFORMATION, IF ANY	TYPE OF LICENSE & LICENSE NUMBER
_____	_____	_____
_____	_____	_____

**** IF MORE SPACE NEEDED, PLEASE ATTACH SEPARATE PAGE.**

RELEASE

_____, proposing to enter into one or more transactions with the Seminole Tribe of Florida, related to one or more of its gaming operations, and desiring it to be informed of the past and current activities and financial responsibility, hereby authorize it to investigate and to ascertain any and all information which may concern any or all of the foregoing information/individual(s), whether same is of record or not and release any Tribal, City, County, State or Federal Law Enforcement and/or regulatory agencies, and all persons whomsoever from any damage because of furnishing said information.

By:

DATE

PRINT NAME & TITLE

DULY AUTHORIZED SIGNATURE

By:

DATE

PRINT NAME & TITLE

WITNESS SIGNATURE



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Vendor Ethics and Procedure Statement

The Seminole Tribe of Florida, a federally recognized Indian tribe under 25 U.S.C. §476, doing business as SEMINOLE GAMING (operating managers of Seminole Hard Rock Hotel & Casino–Hollywood, Seminole Hard Rock Hotel & Casino-Tampa, Seminole Indian Casino-Big Cypress, Seminole Indian Casino-Brighton, Seminole Indian Casino-Coconut Creek, Seminole Indian Casino-Hollywood, and Seminole Indian Casino-Immokalee) take its responsibility as a community partner very seriously, and we are determined to conduct our business in accordance with the highest ethical standards. For this reason, SEMINOLE GAMING actively seeks and favors suppliers whose standards are compatible with our own, and we do not engage in business with companies or individuals that do not meet these standards. This Vendor Ethics Statement sets forth the standards, which are expected of vendors doing business with SEMINOLE GAMING.

SEMINOLE GAMING believes that the conduct of its Vendor Partners reflects upon SEMINOLE GAMING itself and affects SEMINOLE GAMING reputation, SEMINOLE GAMING requires that its Vendor Partners conform to standards of business practices which are consistent with our practices. More specifically, SEMINOLE GAMING requires conformity from its vendor partners with the following standards.

I. Confidentiality

Vendor Partner shall not at any time, during or after the term of this agreement, disclose to others and will not take or use for its own purposes or the purpose of others any trade secrets, confidential information, knowledge, data, know how, or any other information considered logically as “confidential”.

II. Registration

In order to conduct business with any of the Seminole Gaming Properties in the State of Florida, you must be registered and approved by the Tribal Gaming Office. This includes any and all transactions, regardless of dollar value. Unregistered / unapproved vendors are not authorized to conduct any business with any of the Seminole Gaming Properties located in the State of Florida.

III. Purchase Order Required

A Purchase Order (PO) will be issued for all goods, services, samples, donations etc. regardless of dollar value by the property Purchasing Department. All products delivered and/or services provided must be accompanied by the corresponding PO number listed on the PO document sent by Purchasing. If you have not been issued a PO then you are not authorized to deliver product and/or supply services and in turn, we are not authorized to process your invoice for payment.

IV. Contracts and agreements

Any / all agreements, contracts, proposals must be submitted to the Purchasing Department on behalf of the vendor / supplier for submission and consideration to the requesting department.



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V. Gifts

Gifts, no matter how well intentioned by the donor, tend to substitute subjective emotions and motives for objective judgment based on service, quality, and price. Your awareness of and compliance with the below will foster the continuation of fair business practices that favor our close association.

- a. Purchasing Department: Team members are not authorized to accept gifts, personal loans, entertainment, inducements or other special considerations from an individual or business organization doing business with the Seminole Tribe of Florida regardless of dollar value.
- b. Director Title or Above: Under no circumstances may any team member at a Director or above position accept any form of gratuity, gift, etc. from a vendor for any reason.
- c. Team Members shall not directly or indirectly seek or accept any gifts in excess of \$50.00. This includes: payments, fees, services, privileges, vacations, trips, loans (other than conventional loans from lending institutions), or other favors of any nature in excess of \$50.00 from any person or business that does or seeks to do business with, or is a competitor of the Seminole Tribe of Florida Gaming/Hotel Operations.
- d. Gifts/donations that have the opportunity to benefit all employees are acceptable upon approval of the General Manager/President. (ie: items donated for employee picnic etc.)
- e. From time to time sponsorship opportunities will be available and these types of sponsorship/functions are acceptable when handled through and approved by the properties Marketing, Purchasing or General Manager/President.

VI. Vendors will be seen in Purchasing by appointment only

VII. All vendors are required to obtain a visitors badge from Security to gain access to back-of-house when performing work, attending meetings, conducting business that will require your presence back-of-house.

Vendor Partners will educate all of their employees, buying agents, subcontractors and any other representative on the Vendor Ethics and Procedure Statement.

Any person with knowledge of a violation of these standards by a Vendor Partner or a SEMINOLE GAMING employee should call the property Director of Security.

A violation of this Vendor Ethic and Policy Statement will constitute a breach of our relationship and may result in:

1. Requirement of vendor to implement a corrective action plan
2. Cancellation of all outstanding orders
3. Termination of our business relationship



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As an officer of _____
Company Name

(FEIN _____), a Vendor Partner of SEMINOLE GAMING, I have read the terms
Federal Employer Identification Number, if applicable

described in this document and understand my company's business relationship with SEMINOLE GAMING is based upon said company being in full compliance with these terms. I further understand that failure by a Vendor Partner to abide by any of these terms and conditions stated herein may result in the immediate cancellation by SEMINOLE GAMING of all outstanding orders with that Vendor Partner and refusal by SEMINOLE GAMING to continue to do business with said Vendor Partner. I am signing this statement as a corporate representative of

_____ to acknowledge, accept and agree to abide
Company Name

by the standards, terms and conditions set forth in this memo of understanding between my company and SEMINOLE GAMING. I hereby affirm that all actions, legal and corporate, to make this agreement binding and enforceable against _____ have been completed.

Company Name

Signature

Printed Name and Title

Date